

**Statement of Organization  
Recipient Committee**

Statement Type

Initial

Not yet qualified  or

05/23/2014  
Date qualified as committee

Amendment

List I.D. number:

# \_\_\_\_\_  
Date qualified as committee  
(If applicable)

Termination – See Part 5

List I.D. number:

# \_\_\_\_\_  
Date of Termination

RECEIVED  
Date Stamp  
2014 MAY 30 AM 9:52  
OFFICE OF  
CITY CLERK  
NEWPORT BEACH  
CP

**CALIFORNIA FORM 410**  
For Official Use Only

**1. Committee Information**

NAME OF COMMITTEE  
Duffy Duffield for City Council 2014

STREET ADDRESS (NO P.O. BOX)  
2001 West Coast Hwy

CITY STATE ZIP CODE AREA CODE/PHONE  
Newport Beach, CA 92663 949-645-6811

MAILING ADDRESS (IF DIFFERENT)  
603 E Alton Ave STE H  
Santa Ana, CA 92705

FAX / E-MAIL ADDRESS  
duffy@duffyboats.com/Lysaray.campaignservices@gmail.com

COUNTY OF DOMICILE JURISDICTION WHERE COMMITTEE IS ACTIVE  
Orange Orange

**2. Treasurer and Other Principal Officers**

NAME OF TREASURER

Lysa Ray

STREET ADDRESS (NO P.O. BOX)  
603 E Alton Ave STE H

CITY STATE ZIP CODE AREA CODE/PHONE  
Santa Ana, CA 92705 714-540-2295

NAME OF ASSISTANT TREASURER, IF ANY

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

NAME OF PRINCIPAL OFFICER(S)

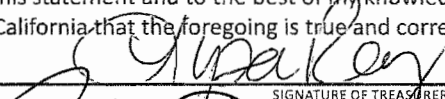
STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

Attach additional information on appropriately labeled continuation sheets.

**3. Verification**

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 05/23/2014 By  SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on 05/23/2014 By  SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

COMMITTEE NAME  
Duffy Duffield for City Council 2014

I.D. NUMBER

- All committees must list the financial institution where the campaign bank account is located.

|  |                 |                     |
|--|-----------------|---------------------|
| NAME OF FINANCIAL INSTITUTION<br>Bank of America | AREA CODE/PHONE | BANK ACCOUNT NUMBER |
| ADDRESS  | CITY            | STATE ZIP CODE      |

**4. Type of Committee** Complete the applicable sections.

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan."
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

| NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT | ELECTIVE OFFICE SOUGHT OR HELD<br>(INCLUDE DISTRICT NUMBER IF APPLICABLE) | YEAR OF ELECTION | PARTY   |
|--|---|------------------|---|
| Marshall Duffy Duffield                                | District: 3<br>City of Newport Beac<br>City Council Member                | 2014             | <input checked="" type="checkbox"/> Nonpartisan |
|  |   |                  | <input type="checkbox"/> Nonpartisan            |

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

| CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER) | CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION<br>(INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE) | CHECK ONE                |                          |
|---|--|--------------------------|--------------------------|
|   |  | SUPPORT                  | OPPOSE                   |
|   |  | <input type="checkbox"/> | <input type="checkbox"/> |
|   |  | <input type="checkbox"/> | <input type="checkbox"/> |

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

|                                |
|--------------------------------|
| <b>CALIFORNIA<br/>FORM 410</b> |
| 3 of 3                         |
| ID NUMBER                      |

COMMITTEE NAME  
Duffy Duffield for City Council 2014

**4. Type of Committee** (Continued)

**General Purpose Committee**

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

CITY Committee    COUNTY Committee    STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

**Sponsored Committee**

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

**Small Contributor Committee**

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Date qualified

**5. Termination Requirements**

By signing the verification, the treasurer, assistant treasurer and/or candidate, officeholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
- Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.